





Agenda

The 2nd Seminar on "Sharing Experiences on Legal Development and Implementation of Emissions Trading Scheme (ETS)"

Date: 10 - 11 JUNE, 2019

Venue: Function 11 Room, Siam@Siam Design Hotel, Bangkok

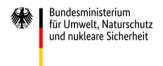
Note: Presentations in Sessions with * will be made in Thai. TH-ENG/ENG-TH Translator is provided throughout the Seminar.

DAY 1: MONDAY, 10 JUNE

Time	Session
09:00 - 09.30	Registration
09:30 – 09:35	Opening remarks
	Mrs. Prasertsuk Patoonsittichai, Executive Director
	Thailand Greenhouse Gas Management Organization: TGO
09:35 – 09:45	Introduction to the Seminar*
	Representative from the Strategy Officer
	Thailand Greenhouse Gas Management Organization: TGO
09:45 - 12:00	Session 1: Setting the Scene
	This session provides an overview of how the ETS works and a background on why
	the ETS is being considered as one of the potential economic instruments to promote climate actions in Thailand.
09:45 – 11:00	Understanding the ETS: Basic principles and brief introduction to the
(60 min)	key components of the ETS legislation
	Mr. Alexander Handke, Senior Policy Officer
	Federal Ministry for the Environment, Nature Conservation and Nuclear
	Safety (BMU), Germany
(15 min)	Q&A
11:00 - 11:15	Group photo & coffee break
11:15 - 12:00	Perspectives on establishing the ETS in Thailand*
(30 min)	Ms. Sumon Sumetchoengprachya, Director of Strategy Office
	Thailand Greenhouse Gas Management Organization: TGO
(15 min)	Q&A
12:00 - 13:00	Lunch break



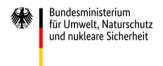




Time	Session	
13:00 - 15:00	Session 2: Framework legisla	ation and policy on climate change
	This session explores how countries formulate their framework legislation and policy on climate change and their relation to carbon pricing instruments i.e. the ETS / carbon tax.	
(20-30 min each)	International Experiences:	
	■ EU/Germany	Mr. Alexander Handke, Senior Policy Officer BMU, Germany
	■ China	Ms. Zhang Minsi National Center for Climate Change Strategy and International Cooperation (NCSC), China
		Mr. Chen Zhibin, Senior Consultant SinoCarbon, China
	Singapore	Ms. Teanna Tan, Assistant Manager National Environment Agency (NEA), Singapore
(20 min)	Development in Thailand*	Mr. Kittisak Prukkanone, Director of MMDS Office of Natural Resources and Environmental Policy and Planning (ONEP), Thailand
(15 min)	Discussion / Q&A	
15:00 – 15:15	Coffee break	
15:15 - 16:45	Session 3: Oversight and ins	titutional arrangements
	This session explores the role of the government in regulating the ETS/carbon tax – Who are the responsible agencies and what are their respective roles? How are these functions represented in the legislation?	
(20-30 min each)	International Experiences:	
	■ EU ETS/Germany	Mr. Alexander Handke, Senior Policy Officer BMU, Germany
	China's ETS	Ms. Li Yan NCSC, China
		Mr. Chen Zhibin, Senior Consultant SinoCarbon, China
	■ Singapore's carbon tax	Ms. Teanna Tan, Assistant Manager NEA, Singapore







Time	Session	
(20 min)	Development in Thailand*	Mr. Thosaphol Thurongkinanonth, Associate Norton Rose Fulbright (Thailand) Ltd.
(15 min)	Discussion / Q&A	
16:45 - 17:00	Wrap-up of Day 1	





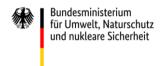


DAY 2: TUESDAY, 11 JUNE

Time	Session		
09:00 - 09.20	Registration		
09:20 - 09:30	Brief summary of Day 1 and introduction to Day 2		
	Representative from Strategy	·	
09:30 - 11:00	Session 4: Role and obligations of regulated entities		
	This session explores the role and obligations of regulated entities under the ETS/carbon tax legislation, including e.g. report on emissions, submission of application for allocation of allowances, and surrender of allowances.		
(20-30 min each)	International Experiences:		
	■ EU ETS/Germany	Mr. Alexander Handke, Senior Policy Officer BMU, Germany	
	China's ETS	Ms. Li Yan NCSC, China	
		Mr. Chen Zhibin, Senior Consultant SinoCarbon, China	
	Singapore's carbon tax	Ms. Teanna Tan, Assistant Manager NEA, Singapore	
10:30 - 10:40	Coffee break		
(20 min)	Development in Thailand*	Mr. Thosaphol Thurongkinanonth, Associate Norton Rose Fulbright (Thailand) Ltd.	
(15 min)	Discussion / Q&A		
11:15 - 12:30	Session 5: Legal treatment of	of allowances	
	This session explores the legal nature of allowances and how they are being treated in different jurisdictions (e.g. rights of holders, trading of allowances, and accounting of allowances in financial statements)		
(20-25 min each)	International Experiences:		
	■ EU ETS/Germany	Mr. Alexander Handke, Senior Policy Officer BMU, Germany	
	China's ETS	Ms. Zhang Minsi NCSC, China	
		Mr. Chen Zhibin, Senior Consultant SinoCarbon, China	







Time	Session	
(15 min)	Discussion / Q&A	
12:30 - 13:30	Lunch break	
13:30 - 14:30	Session 6: Litigation on the ETS	
	This session explores legal cases and dispute resolution relating to the ETS – are the key issues involved? What are the lessons learnt? and how to best average potential future disputes? International Experiences:	
(20-25 min each)		
	■ EU ETS/Germany	Mr. Alexander Handke, Senior Policy Officer BMU, Germany
(15 min)	Discussion / Q&A	
14:30 – 14:45	Coffee break	
14:45 - 16:00	Session 7: International regime and carbon pricing instruments	
	This session explores the implications international climate regime, in particular the UNFCCC and the Paris Agreement, may have on the design and implementation of the carbon pricing instruments, including in the context of Article 6 of the Paris Agreement.	
(10 min)	Brief overview of international climate regime Representative from the Strategy Officer, TGO	
(20-25 min each)	International Experiences:	
	EU/Germany	Mr. Alexander Handke, Senior Policy Officer BMU, Germany
	■ China	Ms. Zhang Minsi NCSC, China
		Mr. Chen Zhibin, Senior Consultant SinoCarbon, China
(15 min)	Discussion / Q&A	
16:00 - 16:15	Discussion and Closing	







Speakers and Resource persons – Short Biography

Note: the list below is arranged in alphabetical order.

International experts

Mr. Alexander Handke – Federal Ministry for the Environment, Nature Conservation and Nuclear Safety (BMU) of Germany



After his vocational training in industrial business management, Alexander studied law at Ernst-Moritz-Arndt Universität in Greifwald and completed his practical legal preparatory service at the Court of Appeal in Berlin (Kammergericht Berlin). Alexander has been involved in EU ETS, greenhouse gas mitigation projects and other climate related policies since 2004. At the Germany Ministry for Environment (BMUB) he is responsible for bilateral and international cooperation on emissions trading, capacity building and linking. Prior to joining BMUB in 2017 he has been working with the German Environment Agency/German Emissions Trading Authority for seven years and a German law firm specialised on energy and environmental law for six years. Alexander is an expert on the legal aspects of emissions trading with specific capabilities in MRVA. As well as MRVA, he has a sound understanding of auctioning, allocation, registry development and the role of ETS in the policy mix. Alexander has contributed to various ETS capacity-building activities and courses in different contexts.

Contact information: <u>Alexander.Handke@bmu.bund.de</u>

Mr. Chen Zhibin - SinoCarbon



CHEN Zhibin is a senior consultant at SinoCarbon, who is deeply involved in carbon market design and implement in China. Since 2013, he participants in developing and improving ETS policy in pilots including Beijing, Shanghai, Chongqing and Fujian. Meanwhile, he is one of the major experts to support the national ETS. Both in central government level and provincial government level, he participated in policy design projects including legislation, MRV rules, cap setting methods and allocation rules. He also conducts international cooperation ETS projects funded by World Bank, EU, ADB, UNDP, Norway, British, Australia, etc.

CHEN Zhibin also provides market analysis and carbon management consultant services to large energy groups, including China Huadian Corporation, CLP group, BP, Shell, China Mobile, Yunnan Provincial Energy Investment Group, etc. Moreover, he has abundant experience in ETS







capacity building projects. He delivered lectures to government officials and enterprises from China, Thailand, Vietnam, Brazil and Colombia.

Contact information: chenzhibin@sino-carbon.cn

Mr. Kristian Wilkening - GIZ



Kristian Wilkening is the GIZ project director of the project "Capacity Building for the establishment of emissions trading schemes (ETS) in China". The project provides technical advice and supports the strengthening of capacities for the development, establishment and implementation of ETS in China, both at the national and local level. It also facilitates the exchange of ETS related experiences and expertise between Chinese, German and European institutions and seeks to enhance the dialogue between political decision-makers and the private sector in China.

Before joining GIZ, Kristian worked for the secretariat of the International Carbon Action Partnership (ICAP), a network enabling governments to exchange experiences on establishing and developing ETS and adelphi, a Berlin based consultancy in the field of climate and energy. His focus primarily lied with the analysis of international carbon markets, supporting the development of ETS in emerging economies and Sino-German government cooperation on broader environmental and climate change issues.

Contact information: kristian.wilkening@giz.de

Ms. Li Yan – National Center for Climate Change Strategy and International Cooperation (NCSC)



LI Yan is a researcher at National Center for Climate Change Strategy and International Cooperation (NCSC), who is deeply involved in carbon market capacity building in China. From 2008 to 2014, she worked for the international cooperation division of climate change department of NDRC, mainly involved in the bilateral and multilateral climate change program including international carbon market mechanism. From 2015 until now, she always works for the NCSC, mainly involved in the information and training. She also organized the China Pavilion side events of COP from 2012-2016.

In addition, LI Yan also has published nearly 20 academic papers, 4 books, participants nearly 10 research and consultation projects. Research direction is enterprises participation in carbon trading, local low-carbon planning and so on.

Contact information: liyan@ncsc.org.cn







Ms. Teanna Tan - National Environment Agency, Singapore

Ms Teanna Tan is an Assistant Manager at National Environment Agency (NEA).

The National Environment Agency (NEA) is responsible for implementing Singapore's carbon tax including the accreditation of third-party verifiers and collection of carbon tax revenue. Teanna is a member of the team responsible for designing and implementing of the Measurement, Reporting and Verification (MRV) framework of greenhouse gas emissions that underpins the carbon tax mechanism in Singapore. She is heavily involved in the development of the Monitoring Plan template, measurement and reporting guidelines, verification and accreditation guidelines and the online reporting system for the Emissions Report which supports the way taxable companies and third party verifiers comply with the Carbon Pricing Act and its related regulations. Teanna also has experience in engaging companies and potential third party verifiers as she was deeply involved in the series of industry consultations/briefings and the validation of the Monitoring Plans.

Contact information: Teanna tan@nea.gov.sg

Ms. Zhang Minsi – National Center for Climate Change Strategy and International Cooperation (NCSC)



ZHANG Minsi graduated from Peking University and used to be working in Energy Research Institute (ERI) of China's NDRC. Since June 2012, she has been working in the carbon market department of NCSC, focusing on China's national ETS policy research, China's ETS pilots implementation analysis, China's voluntary emission reduction trading market analysis, etc. She has participated in the management China's voluntary emission reduction registry (CCER registry) and the formulation of China's National Carbon Market Development Plan. She has joined many carbon market related research projects on national or local level, and published a lot of academic papers. At present, she is supporting China's national carbon market development.

Contact information: zhangms@ncsc.org.cn







Experts from Thailand

Mr. Kittisak Prukkanone – Office of Natural Resources and Environmental Policy and Planning (ONEP), Thailand



Mr. Kittisak Prukkanone (Ph.D.) is the Director of Measure and Mechanism Development Section, the Climate Change Management and Coordination Office under the Office of Natural Resources and Environmental Policy and Planning (ONEP), the government sector that has responsibility for planning and regulating environmental policies in Thailand.

The responsibility involves formulating national policies and plans for support the implementation of United Nations Framework on Climate Change (UNFCCC), Kyoto Protocol, and Paris Agreement as Thailand National Focal Point. This includes measures analysis, planning and policy making on Climate Finance issues.

Contact information: kprukkanone@yahoo.com

Ms. Sumon Sumetchoengprachya – Thailand Greenhouse Gas Management Organization (Public Organization)



Miss Sumon Sumetchoengprachya has been working with Thailand Greenhouse Gas Management Organization (Public Organization) (TGO) since 2009. TGO is a national body acting as a DNA for CDM projects and promoting greenhouse gas mitigation activities in Thailand. As the Director of Strategy Office, she is responsible for developing an organization's strategic and action plans, participating in UNFCCC's Article 6 negotiations, including providing recommendations on low carbon policies and plans to promote mitigation actions among stakeholders in Thailand.

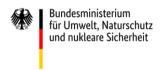
Before moving to Strategy Office, she was the Director of Carbon Business Office of TGO and responsible for developing carbon market in Thailand including promoting Carbon Labelling Scheme and mitigation activities in public and private sectors. Miss Sumetchoengprachya worked with Thailand Environment Institute (TEI), a non-governmental organization, as a senior research fellow. She was a project manager and researcher in several environmental and sustainable development policy research studies.

Miss Sumetchoengprachya holds a Master degree in Natural Resources and Environmental Economics from the Faculty of Economic, Chulalongkorn University. (to be included in the updated version of the agenda)

Contact information: sumon@tgo.or.th







Mr. Thosaphol Thurongkinanonth – Norton Rose Fulbright (Thailand) Limited



Thosaphol Thurongkinanonth is a lawyer of Norton Rose Fulbright (Thailand) Limited based in Bangkok. Thosaphol focuses on environmental, energy, corporate and general commercial law. His practice also includes advising private sector participation in government projects. Thosaphol graduated with a Bachelor of Laws degree from Thammasat University (with Honours).

Thosaphol is currently assisting Somboon on a study to propose the legal framework for establishing the Emissions Trading Scheme in Thailand (a project supported by the World Bank).

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